

GAUTRAIN MANAGEMENT AGENCY



**Gautrain Management Agency (GMA)
Social Investment Programme Policy**

Approved by the Board on 30 July 2013

GAUTRAIN MANAGEMENT AGENCY



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Social Investment Programmes Policy
(SIP)

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1. PURPOSE OF POLICY

Gautrain Management Agency is committed to playing a leadership role in society through active Social Investment Programmes. Gautrain is viewed as an investment in the future therefore the Gautrain Management Agency (GMA) needs to invest in people and environmental sustainability.

The purpose of the Social Investment Programme Policy(SIP) which incorporates Socio-Economic Development (SED) is to provide the GMA with guidelines and assistance in order to implement SED elements of Broad-Based Black Economic Empowerment (BBBEE) that are effective through business integrity, sustainable development, community development and human rights.

The focus of SIP is twofold:

- Funding
- Supporting

2. ABBREVIATIONS, DEFINITIONS and TERMINOLOGIES

ABBREVIATION	DEFINITION
GMA	Gautrain Management Agency
SIP	Social Investment Programmes
BBBEE	Broad- Based Black Economic Empowerment
BCC	Bombela Concession Company (Pty) Ltd
SED	Socio-Economic Development

3. APPLICABILITY/SCOPE

Social Investment Programmes (SIP) encompass projects that are external to the business or outward looking; projects that are community focused in general and those which have a strong developmental approach. It includes projects with a focus on social, developmental or community aspects where the investment is not primarily driven as a marketing initiative.

Projects shall be initiated in Gauteng and support socio-economic development obligations with a preference given to projects that correlate to the government's national imperatives. The GMA, as a leader in environmental management will continue investing resources in nature and environmental projects.

Focus will be on education and to address the skills shortage in the construction and engineering industries, with specific attention to Broad-Based Black Economic Empowerment (BBBEE).

Gautrain could serve to create a communication platform for relevant SIP projects.

GMA SIP will focus on education and awareness, poverty alleviation, entrepreneurship, conservation and environment issues.

4. DECLARATION

The GMA employees, the Board Members' any other person involved directly in the GMA SIP activities or processes, or who are custodians of processes within the GMA SIP Fund (guided by the GMA Code of Conduct), must sign a declaration of conflict and or interest when involved in the funding process. This process dictates that a declaration of any conflict of interest upfront linked to an application for funding from the GMA SIP, be declared upfront giving an opportunity to be refused from all decision-making.

5. PRINCIPLES

The following principles shall apply:

- a) Support urban renewal projects.
- b) Projects that enhance skills in Science and Mathematics.
- c) Projects that contribute to job creation related to Gautrain e.g. entrepreneurial training.
- d) Linked to projects that are unique to Gautrain e.g. work apprenticeship.
- e) Sustainable projects that are not dependable on the GMA SIP funds but only need "once-off" assistance.
- f) Contributing to the economic development of the community.
- g) Supporting sponsorships in line with GMA objectives.
- h) Contributes to government's poverty alleviation programmes and rural development.
- i) Contributes to nature and environmental protection.
- j) Matching Funding where possible and/or partnering with sustainable entrepreneurial projects.

6. GMA SIP FOCUS AREAS

The GMA shall render support in the following areas:

a. Education and Awareness

- Teacher and learner development programmes
- School Environment (Building, play area and equipment)
- Outreach Programmes
- Maths, Science and Engineering
- Awareness Initiatives (entrepreneurial, HIV/AIDS, special programmes aligned to government initiatives)
- Skills Transfer Projects
- Capacity Building in rural communities

These may include contributions to communities that endeavour to build schools and/or educational facilities as well as outreach programmes to educate them. In addition, an improvement of skill levels in communities with the objective to earn a living and becoming self-sustainable will be considered.

b. Poverty alleviation

Support for SIP job creation projects that are aimed at sustainability and community development. Such projects may include:

- Rural development
- Sustainable job creation projects
- Development of new talent/ designated individuals or teams

c. Entrepreneurship

- Women led projects
- Youth development projects
- Projects focusing on people with special needs
- Business support services and mentoring

d. Conservation and Environment

In support of the green economy projects:

- Environmental awareness projects
- Conservation initiatives
- Community Assistance during disaster
- Initiatives focusing on public transport as a lifestyle choice

7. ADJUDICATION, EVALUATION AND MONITORING

The adjudication and selection of SIP initiatives will be outlined in the procedure document.

The evaluation criteria and accountability standards that would measure the success, or lack thereof, will be outlined.

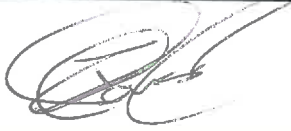



Monitoring and reporting on all initiatives will be tabled at Social and Ethics Committee Meetings.

Responsibilities, Authorities and Accountability

RESPONSIBILITY	DESIGNATION	Level of Responsibility / Accountability
Accounting Officer	Chief Executive Officer (CEO)	<ul style="list-style-type: none"> • The Chief Executive officer is responsible for establishing systems, procedures, processes and training and awareness programmes to ensure efficient and effective SIP Policy
Responsible Authority	Senior Executive Manager : Communications and Marketing and Social and Ethics Committee	<ul style="list-style-type: none"> • Responsible to ensure that this policy is implemented at GMA. • Responsible to ensure that a suitable system to support this policy is in place. • Responsible for providing guidance on the management of exceptions to this policy.
Audit Authority	Senior Executive Manager : Communications and Marketing	<ul style="list-style-type: none"> • Responsible for all audit queries in relation to the policy.
Executing Authorities	All Managers responsible for business units	<ul style="list-style-type: none"> • Responsible for ensuring compliance with the policy. • Responsible for implementing suitable systems to

		<p>support the implementation of this policy.</p> <ul style="list-style-type: none"> Responsible for reporting on and managing exceptions to this policy.
Administrative Responsibility	Marketing Executive	<ul style="list-style-type: none"> Responsible for the annual review of the policy and / or amending of the policy as necessary.
Revision / Amendments	Marketing Executive	<ul style="list-style-type: none"> Responsible for the annual review of the policy and / or amending of the policy as necessary.
Enquiries	Marketing Executive	<ul style="list-style-type: none"> Responsible for the overall management and implementation of GMA policies. Proposed Corrections and/or amendments to this Document must be forwarded to the relevant Business Unit.

The signatories hereof, confirm their acceptance of the contents and recommend the adoption thereof.

DESIGNATION	SIGNATURE	DATE SIGNED
POLICY MANAGER		06/08/13.
CHIEF EXECUTIVE OFFICER		2013.08.02
SOCIAL AND ETHICS COMMITTEE CHAIRPERSON		06/08/2013
GMA BOARD CHAIRPERSON		15/08/2013